

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

<b>Transmittal Letter to the United States</b> <b>Designated/Elected Office (DO/EO/US)</b> <b>Concerning a Filing Under 35 USC 371</b>		Attorney's Docket Number SCHR3002/REF
		U.S. Application Number 09/926,002
International Application Number PCT/EP00/01038	International Filing Date February 9, 2000	Priority Date Claimed February 12, 1999
Title of Invention VACCINE FORMULATION		
Applicant(s) for DO/EO/US SCHRODER et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
2. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☒ Executed ☐ Unexecuted)
3. ☒ A copy of Form PCT/DO/EO/905 dated: October 22, 2001.
4. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
5. ☐ An assignment document for recording.  
A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
6. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
7. ☐ A substitute specification.
8. ☐ A change of power of attorney and/or address letter.
9. ☐ A Verified Statement Claiming Small Entity Status.
10. ☐ A Request for Refund.
11. ☒ Surcharge in the amount of \$ 65.00.
12. ☐ Assignment recordation fee of \$ 0.00.
13. ☐ Processing fee of \$      for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

A check in the amount of \$ 65.00 to cover the fees is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is enclosed.

11/01/2001 LLANDGRA 00000048 09926002

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65.00 DP

Respectfully submitted,

BACON & THOMAS, PLLC

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Date: October 30, 2001

SCHR3002

Date 10/34/01 Atty REFAction Due NTFM RegsDeadline 12/29/01Final Deadline 3/22/02

U.S. APPLICATION NUMBER NO.		FIRST-NAMED APPLICANT	ATTY. DOCKET NO.
09/926,002		ULF Schroder	SCHR300/ REF
INTERNATIONAL APPLICATION NO.			
PCT/EP00/01038			
I.A. FILING DATE		PRIORITY DATE	
08/09/2000			

Bacon & Thomas  
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CONFIRMATION NO. 6626

371 FORMALITIES LETTER



\*OC000000006936234\*

Date Mailed: 10/22/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

KAYA L LEWIS BALTIMORE

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,002	PCT/EP00/01038	SCHR300/ REF

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

SCHRODER et al.

Serial No.: 09/926,002

Filed: August 13, 2001

For: VACCINE FORMULATION

Attention:

PCT BOX MISSING PARTS

**RESPONSE AND SUBMISSION OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to the Official Action dated October 22, 2001, in connection with the above-identified application.

The Official Action acknowledges receipt of the application, but indicates that a Declaration in compliance with 37 C.F.R. §1.497(a) and (b) is required. Applicants submit herewith a properly and fully executed Declaration which identifies the application by serial number, title and PCT international application number. A copy of the form is submitted herewith along with the required \$65.00 surcharge for small entity.

It is believed that the application is now in condition for examination. Accordingly, applicants most respectfully request that an Official Filing Receipt be issued and that the application be forwarded to the appropriate examining group for its examination in due course.

Respectfully submitted,  
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October 30, 2001